

SOLUTIONS FOR SOX: COGNOS AND BUSINESS INTELLIGENCE INTERNATIONAL



**RAPID IMPLEMENTATION
WITH PACKAGED REPORTS
AND DATA MODELS**

Regulatory compliance standards, such as those imposed by the Sarbanes-Oxley Act (SOX), require companies worldwide to deliver better corporate governance and shore up investor confidence. Business Intelligence International (BII), a Cognos Silver partner, offers a uniquely focused service to help customers implement Cognos business intelligence solutions for SOX compliance quickly and cost-effectively. Leveraging a core competency in designing best-practice solutions to drive performance, BII has developed a rapid implementation service offering to help companies implement a Cognos BI Portal (as illustrated below), complete with:

- SOX Scorecard & Status Reporting
- SOX Work Product Reporting
- SOX Analytics

Design Effectiveness as values	XTR Incorporated	XTR Division 2	XTR North America	XTR Europe	XTR Asia
Purchasing (other than inventory)	Green	Green	Green	Green	Green
Receiving, recording payable and incomes	Green	Green	Green	Green	Green
A/P Trial Balance	Green	Green	Green	Green	Green
Accounts Payable Segregation of Duties	Red	Green	Green	Green	Green
Accounts Payable, Accruals/Expenses	Green	Green	Green	Green	Green

The BII rapid implementation service includes:

- **Data Migration.** Rapid, cost-effective extraction, conversion, and loading of SOX data from Excel spreadsheets into a consolidated and integrated database.

- **Data Models.** Dimensionally rich designs for a SOX 404 Program data-mart and a Framework Manager model to feed packaged reports in Cognos BI.

- **Packaged Reports.** Some 50 packaged reports are available across the areas defined above using Cognos Metrics Manager, Cognos PowerPlay, and Cognos ReportNet.

A key principle of the BII-Cognos data model for SOX is to provide a foundation that can evolve within a broader compliance framework to integrate and successfully leverage many different data sources and applications across processes and transaction flows. This is where business intelligence offers the greatest opportunity for reducing overall cost of compliance, namely by automating the testing and execution of the controls themselves. BII-Cognos SOX solutions are uniquely equipped to:

1. **Automate Manual Detective Controls and Controls Monitoring,** which require reviewing, comparing, and analyzing different sets of transactional information, that is, eliminating inefficiencies from cutting, pasting, and formatting data in spreadsheets. This impacts thousands of regular, front-line employees who have to manually execute or monitor these controls on an ongoing basis.

2. **Automate Testing of Controls Reports Audit Trail.** Reduces assessment and testing costs by shifting from testing multiple transaction samples and instances to a single test of meta-data and administration access rights. This impacts the resource constrained Internal Audit function, allowing fewer budgeted testing hours (either from internal or external sources) or shift of existing resources to better use.

In addition to its Cognos rapid implementation service, BII offers a uniquely affordable, yet effective SOX Point Solution. Aline™ 4 SOX is an ASP, browser-based offering, providing built-in data structures for effective Cognos business intelligence. The Aline™ Suite includes

- Aline™ 4 SOX
- Aline™ 4 CPM & ERM
- Aline™ 4 IT Mgt

ABOUT BUSINESS INTELLIGENCE INTERNATIONAL

BI International (BII) provides solutions that align business and IT to drive superior performance, in corporate performance management, enterprise risk management, Sarbanes-Oxley compliance management and IT portfolio management.

A Cognos Silver Partner, BII was founded in 1995 on the principle that while “business intelligence” was vital to competitiveness, its full promise could only be realized with effective business and IT alignment. Over the years, BII has pursued its goal across multiple technical platforms, relationships, and industries, helping define how IT must align with people, process, and strategy to maximize shareholder value.

BII has implemented or licensed innovative business solutions and business meta-data modeling tools for software vendors such as Cognos, for numerous systems integrators, and directly with companies in the financial services, pharmaceutical, and consumer product industries.

Working closely with accounting and consulting firms, in 2002, BII began developing tools and best practices for Sarbanes–Oxley to optimize the cost of compliance and its value to business. In 2003, BI International further strengthened its core competence in business content and consulting through a joint-venture with the PMSI Group, a UK-based diverse strategy consulting firm.

ABOUT THE PMSI / BII JOINT VENTURE

PMSI has a powerful track record of delivering data-driven analysis and practical insights for senior management. In recent years, PMSI augmented their offering with innovative use of tools and technology, reducing cost-of-delivery and creating highly repeatable, business-focused data management services.

Each firm operates as a distinct business entity, while offering joint product and services to leverage obvious synergies. BII focuses on driving return-on-investment from IT investments, while PMSI focuses on driving ROI from analysis and insights. Additionally, with this merger, both companies are now better able to serve customers in North America, Europe, and Asia Pacific in the areas of

- SOX Services: Optimization & Transformation
- Business Intelligence: Performance & Risk Management
- IT Governance: IT Portfolio & Strategy Optimization

ABOUT COGNOS

Cognos is the world leader in business intelligence and enterprise planning software. Our solutions for corporate performance management let organizations drive performance with planning, budgeting and consolidation, monitor it with alerts and scorecarding, and understand it with business intelligence reporting and analysis. Cognos is the only vendor to support all of these key management activities in a complete, integrated solution. Founded in 1969, Cognos now serves more than 23,000 customers in over 135 countries.



WWW.COGNOS.COM

(08/05)

Cognos, and the Cognos logo are trademarks or registered trademarks of Cognos Incorporated in the United States and/or other countries. All other names are trademarks or registered of their respective companies.